

Committee on Library and Scholarly Communication Annual Report 2019-20

To: Academic Senate, Santa Cruz Division

The Committee on the Library and Scholarly Communication (COLASC) is charged with advising the campus administration on local and systemwide library and scholarly communication policies. Scholarly communication refers to the multi-faceted modalities by which research and creative work are made public and encompasses issues related to publishing, technology, archiving, and copyright. The committee also advises on the administration of campus libraries and on matters concerning acquisition and management policies for collections. The committee meets biweekly to support this charge and to better understand and learn about the challenges and opportunities facing our libraries. Several unusual events have occurred this year and some have impact or relevance to issues concerning COLASC, which include the Graduate Student Wildcat COLA (Cost of Living Adjustment) strike, COVID-19, and Black Lives Matter (BLM) protests. Below we summarize our actions for the 2019-20 academic year.

I. Library Budget, Collections and Space Orientations

Based on last year's precedent, the first meetings in the fall were orientations.

Associate University Librarian (AUL) for Planning and Resource Management John Bono. AUL Bono provided an overview of the library budget:

- How the library budget is organized and the yearly library budget allocation is determined and the structural issues that the collections portion of the library budget does not keep pace with the cost of library materials.
- The source of the library budget primarily comes from campus support (99% State funding) and is supplemented by revenue from the Cafe, public printing, and library fees. In addition to recurring funding, the library's operating budget includes one-time funds from various sources including gift/endowment income. The CPEVC allocates funding on a yearly basis. The library is classified as an academic support division (like ITS, BAS, etc.) while functioning in many ways like an academic division. It is similar to an academic/support division hybrid.
- How the library budget is organized (library collections versus everything else), how money is characterized (recurring versus one time funding) and how funding is allocated (collections, staffing, operations).

Associate University Library for Collections and Services Kerry Scott (AUL Scott) provided the committee with an overview of the library's acquisition (what the library buys or licenses) and access (how the library makes what we have not bought or licensed accessible to the campus community) strategies. She discussed three considerations when thinking about issues of acquisition and access:

- Budget: Constraints & Stewardship. 72% of the budget goes to the California Digital Library (CDL) with 28% remaining local to obtain books, DVDs, data requests and journals. The recurring collection budget is still lower than what it was in 2009, despite the 2019-20 collection needs. There are three standard services: Demand Driven Acquisition (DDA) - login to library search to see what titles are available for demand driven acquisition, Recommend a Purchase Form - used for books not available through DDA,

films, journal and database requests, Automatic Approval Plans (books received automatically from our book vendors).

- Collective Collection: Shared Print. Libraries work collaboratively through organizations like JACS, WEST and HathiTrust, to collectively preserve the print record. The UCSC University Library is an active participant in and beneficiary of these collective print archives.
- Scholarly Production & Communication: Open Access. UC faculty in partnership with the UC libraries are partnering to help effect the transition to sustainable and open access models.

Librarian Greg Carrega provided a tour of the renovated 3rd floor in the Science and Engineering Library now named the Sandra M. Faber floor. It opened on January 9, 2020. The tour presented the successful reconfiguration of the space in supporting students' needs on campus. The new space has 440 seats. 264 seats are programmed to support individual or pairs quiet study, and 176 seats are programmed to support group study. The floor has areas for individual study quiet spaces, as well as designated areas where group projects can meet and collaborate without concern of noise. The renovations included:

Quiet side:

- 78 rectangular reading carrel seats w/front and lateral privacy screens
- 18 semi-circular reading carrel seats w/markerboards
- 30 lounge seats
- 28 2-person booth seats
- 9 3-person study pods seats w/markerboards
- 56 large reading table seats w/front privacy screens
- 20 rectangular café table seats w/front privacy screens
- 22 laptop bar seats
- 3 computer catalog stations

Active side:

- 62 group study room seats
- 82 flex zone seats w/mobile furniture
- 24 round café table seats
- 5 lounge seats
- 3 computer catalog stations

Additional renovations included:

- new carpet
- new acoustic ceiling tiles
- whiteboard capacity
- new furniture include pods and carrels and a laptop bar glass wall
- expansion of standard AC and USB power ports.
- upgrades to the wireless network
- 2 gender-neutral bathrooms
- upgrade of the HVAC system

II. Endorsing UCSC University Library's Journal Cancellation Review Process

In FY 2018, the University Library introduced COLASC to the conditions necessitating a journal cancellation review, describing the change to the UC Libraries' shared-resource cost model and the

impacts on the campus's access to academic journals and the Library's budget. University Librarian Cowell (UL Cowell) additionally shared this information with senate faculty outside COLASC by hosting a Library Budget Forum which outlined the library's financial status, its plan for managing a structural deficit, new shared-resource cost model, and the library's plan to conduct a survey to assist in their planned journal subscription cancelation review. In our view, the additional outreach provided by using communication channels beyond COLASC contributed to an increased awareness of the issues and faculty participation.

The University Library leadership worked closely with COLASC in the lead-up to the survey. Committee members provided feedback about the survey set-up, the survey participants (faculty, graduate students and staff), and tested a draft of the survey ahead of its formal launch. The campus Institutional Research, Assessment and Policy Studies (IRAPS) unit designed and administered the survey based on the requirements developed by the University Library and COLASC. The survey ran from October 11, 2018 to January 23, 2019.

On November 7, 2019, UL Cowell and AUL Scott shared their analysis of the survey data and the library metrics used to assess each of the 346 journals reviewed for keep and cancelation consideration. Titles were designated as keep candidates if they were high use and high need based on an assessment of the survey results and the library metrics. AUL Scott also provided an overview of the analysis conducted and the metrics used to designate titles as cancelation candidates. COLASC was asked to consider the following options for all of the titles under review:

- Do Nothing - Keep all subscriptions active, continue to spend one time funds to support collection budget overages, spend the year getting the campus current about the budget situation and make cancelation decisions in 2021 or later (\$0 savings)
- Cancel only Duplicated Titles - Cancel only the titles that were fully duplicated in CDL resources (\$14,000 savings)
- Cancel All - Cancel all titles falling outside the "Keep" criteria from the survey and available data. - (\$63,000 savings)

COLASC recommended canceling all titles falling outside the Library's "Keep" criteria which would result in keeping 212 subscriptions and canceling 134 subscriptions. The committee viewed the titles proposed for cancelation as reasonable and defensible given the library's assessment, pressures on the library budget, our campus's very high utilization of journals licensed through the California Digital Library and the evolution of the campus's research and teaching needs. While the number 134 looks ominously high, the library's analysis demonstrates that 91 of those titles are both duplicated and utilized in CDL-licensed subscriptions (e.g., Wiley, JSTOR, Academic Search Complete). The remaining titles are largely print titles with limited usage making Interlibrary Loan the most appropriate and cost-effective option.

COLASC recommended that University Librarian Cowell and Associate University Librarian Scott meet with campus leadership, Senate leadership and the academic departments most impacted by the cancelation decisions. COLASC invites Senate leadership to share this information and submit any recommendations for effectively disseminating out to the faculty. COLASC appreciates and acknowledges the time and expertise the University Library applied to this review process, and the collaborative process that led to these recommendations. This is a

challenging topic for which consistent and repeated communication and collaboration are critical in ensuring that information is effectively transmitted.

III. Zero-Embargo Policy Regarding OSTP Request for Information: Public Access to Peer-Reviewed Scholarly Publications, Data and Code Resulting from Federally Funded Research

COLASC reviewed the policy that was raised in the March 2020 UCOLASC meeting. The committee felt that, given the current trend and developments in Open Access (OA) globally, the approach taken by UC is appropriate and timely. Regarding the OSTP Request for Information,

COLASC supports a zero-embargo policy for author-accepted manuscripts. We believe that this will serve well the mission of the UC in the long run. We do recognize that there will be a period of transition and it will take some time for some authors and readers to adapt to the new OA system. We encourage the UC to support and facilitate such a transition by working closely with authors, readers, and publishers.

IV. Future Emergency Preparations

Encouraged by the Committee on Research (COR), on April 14, 2020 COLASC Chair met with COR to discuss future planning and preparation regarding emergency situations that close the physical space of campus (e.g. COVID-19, power outages, earthquakes). COLASC discussed the following:

- How to better prepare for situations like COVID-19 when the library system is down for some time? The library has a local emergency manual for internal use for staff.
- How to collect and deliver library items to off campus sites like Long Marine Lab? Due to budget cuts and use, UCSC does not offer this service. For units that employ students, this could be a task for students via creating a proxy borrower. Videos have been a considerable issue during the pandemic due to licensing, and budget and staff constraints.
- How to digitize journals and books, film and media items? Films are currently not being digitized. 52% of UC Santa Cruz's print collection has been digitized. Library has been working to connect users to more search tools. ILL has been quick in responding to requests.
- Can the library still be accessed? There is no access to buildings or physical collections during shelter in place and is a directive from the Chancellor and County of Santa Cruz. The University Librarian suggests people to contact the library directly (library@ucsc.edu) if they are in need of specific items to see if library staff are able to help support their research request.
- Can faculty or students make a request to have things digitized (on demand)? UC Santa Cruz does not offer this service.

These issues will possibly be discussed further with COR in the future.

V. Remote Teaching & Library and Course Materials in Response to COVID-19

On May 21, 2020, AUL Scott discussed how the library has engaged with remote instruction by organizing their website to make information accessible for content and course support with the homepage highlighting the information about the basics of remote access to the library's resources. It also provides information about HathiTrust ETAS. The library has updated their default search

option to search for online resources first (add print and physical resources back into the search by selecting “everything” from the drop down).

There are multiple resources the library is offering for course support. These include services provided by the research, special collections and archives, and digital scholarship librarians; the digital scholarship commons and course reserves services.

AUL Scott would like COLASC's input about how to make faculty aware of these library services and resources, discuss the most effective communication venues for sharing them and talk about what role COLASC and its committee website might play in raising awareness of these services and resources.

VI. Other Issues

COLASC, along with other Senate committees, reviewed proposed divisional and systemwide policies or revisions to policies including the Systemwide Revised Native American Cultural Affiliation and Repatriation Policy; Proposed Presidential Policy on the Protection of Human Subjects in Research; Proposed Revised Presidential Policy on Copyright Ownership. We also reviewed the Student Success Division Report; the Chancellor's Memo on ODEI Restructuring; and the iVPGE's Draft Internationalization Plan.

The Executive Director from UC Press, Tim Sullivan, spoke about UC Press to the committee. His presentation and discussion included the following issues:

- History of UC Press: Started by the Office of the President in 1893 as a way to share knowledge and also the UC brand. Post WWII, it became more of a modern publisher. One of the largest university presses in the country and the only ones that is associated with a public institution.
- Open Access: Changing markets and subscription models for journals and monographs.
- Libraries: Budget cuts and space issues have forced libraries to think more broadly about creating collections in partnership with other libraries.

One issue that should be addressed next year is the de-selection criteria for large removals of journals and books. The committee and library would like to make explicitly clear that there are currently no plans for this type of large scale project. The committee has been discussing this since the 2018-19 academic year.

Overall, we had a productive year with continued engagement and collaboration with the university library staff.

Respectfully submitted;

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August 31, 2020