To: Academic Senate, Santa Cruz Division

The Committee on International Education (CIE) advises the Senate and campus administration on matters related to international education on the UCSC campus, initiates studies as well as reviews, and assists in the formulation of policies regarding international education at UCSC. This year, CIE’s work directly addressed the need for increased faculty engagement with campus internationalization efforts.

In 2014-15, CIE focused its efforts on ensuring that faculty input and engagement remains central in developing and promoting a vision and infrastructure that supports UCSC’s internationalization. The committee continued to build on data collection efforts initiated during 2013-14, and this data informed its recommendations to the administration. The committee’s work was highly visible this year, and included:

- A forum on Internationalization and Social Justice (fall 2015)
- A Senate presentation on the findings from the committee’s examination of the campus process for international collaboration agreements (February 2015)
- Contributions to the Senate Executive Committee’s report Proposal for a Framework for International Engagement (February 2015)
- Two reports in May 2015 from CIE directly to the Senate:
  - “Principles, Processes, and Questions for Global Engagement: Lessons from CIE’s 2013-14 Faculty Survey and Department Visits”
  - “Making International Collaboration Agreements Swift, Flexible, and Open”.

This annual report highlights the key themes that emerged from the fall forum, the key recommendations from the committee’s research on the campus process for international collaboration agreements, and key findings from the 2013-14 faculty survey. The report also highlights the committee’s participation and review of divisional and systemwide issues and its consultations with the administration about issues related to internationalization.

**Fall Forum on Internationalization and Social Justice**

CIE began the academic year by organizing and hosting a Senate forum, titled “Forum on International Engagement and Social Justice: Identifying Campus Priorities” (October 2015). Planning for this event began in 2013-14, as part of the committee’s commitment to further developing and promoting a broad vision of internationalization that helps define campus priorities for global engagement. The forum was structured to facilitate a collaborative conversation with faculty and other campus constituencies about the vision and direction of internationalization for the campus, and particularly to engage with the idea of a social justice as a possible guiding framework that is uniquely suited to the campus. The forum featured Dr. Yenbo Wu, AVP of International Education at San Francisco State University (SFSU). Dr. Wu delivered the keynote...
talk on the process of developing a vision and strategy for social justice-focused internationalization at SFSU. Anjali Arondekar, UCSC Professor of Feminist Studies, and Senior International Officer Joel Ferguson responded to the talk with, respectively, faculty and administrative perspectives on international engagement at UCSC. The forum featured three faculty facilitated breakout sessions, which engaged session members in discussions about campus internationalization. Participants were provided with a handout prior to the session that listed seven dimensions of international engagement that emerged from the committee’s 2013-14 faculty survey and Department meeting visits, as a starting point for further discussion. Members were also asked to think about additional themes or dimensions not listed in the handout. Each breakout session then reported back to the general audience.

Several themes and needs were identified during the breakout session discussions, including: research collaborations and opportunities to connect researchers and funding for faculty led seminars and programs, diversifying sites of collaborations, the creation of an International Center to serve students, writing program and language competency, and communities of support for students. The fall forum provided both an opportunity for a campus-wide conversation about internationalization, and to articulate key ideas, priorities, and a vision for its development. It also established that more could be done to create a “culture” for internationalization, and helped shape the committee’s work and direction for the rest of the year.

**International Collaboration Agreements**

Through multiple efforts to better understand faculty concerns, issues, and priorities for internationalization, CIE learned that international research collaborations are of great interest to faculty. However, CIE found that the process for establishing collaborations on campus is neither transparent nor clear to faculty members. At a time when the UCSC campus is focused on internationalization through increased international enrollments and the establishment of international university partnerships, the lack of faculty involvement in the process serves to inhibit faculty driven research collaborations, which should be at the core of the internationalizing mission of the campus.

In order to assess what gaps exist and how to make the process of establishing pipelines and collaborations more transparent, CIE researched the process for establishing agreements for international collaborations at UCSC, and how the campus process compares to other UC campuses and institutions, with an eye toward identifying how the campus process can be improved and become more accessible for faculty interested in international collaborations. The committee also consulted with Senior International Officer Joel Ferguson and with Special Advisor to the Chancellor for International Initiatives Anu Luther on the topic, asking each of them about their knowledge of, involvement in, and recommendations for improving the existing campus process.

CIE’s findings were presented at the February 2015 Senate meeting, and a report titled “Making International Collaboration Agreements Swift, Flexible, and Open,” was completed in May 2015. The report highlighted existing processes, identified weaknesses in the current process, and made recommendations for a more open, transparent process that included:

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1 As noted elsewhere in this report, CIE conducted a faculty survey and a series of department visits in 2013-14, and organized and hosted a Senate forum on Internationalization and Social Justice in fall 2014.
- Updating campus guidelines;
- Making an open web based summary of existing collaborations available to faculty;
- Designation of a central office for supporting and shepherding international agreements;
- A central archive for agreements;
- Developing a process for top-down and bottom-up agreements;
- Providing faculty incentive to report and document ongoing international collaborations;
and developing processes that both fit the mission of the university and manage risk.

The committee also expects to continue to work with faculty and the administration, and particularly the senior campus officer on internationalization, as the campus develops and updates its processes for establishing international collaboration agreements in the next academic year.

**Findings from 2013-14 Faculty Survey**

In 2013-14, CIE conducted the “UCSC International Experience and Contacts Survey” in order to assess the range and extent of faculty international contacts and experiences and inform the committee’s broader goal of identifying and promoting a faculty driven vision of internationalization at UCSC. All Senate and non-Senate faculty were invited to respond to the survey (launched in October 2013 and accessible through June 2014).

The committee analyzed the data from the survey this year. A total of 134 faculty responded, 107 of which were Senate faculty and 27 non-Senate faculty. All divisions were represented, with the highest responses from the Social Sciences (n=43), Humanities (n=39), and Physical and Biological Sciences (n=32), and the lowest from Arts (n=10) and the Baskin School of Engineering (n=9).

![CIE 2013-14 Faculty Survey: Respondents by Division](image)
The survey indicated that campus faculty have a wide range of international contacts and experiences, with participants citing that they have worked, lived in, or have connections across eighty-five countries and collectively, speak thirty-nine different languages other than English. Survey participants have collectively taught and/or researched in ninety-seven countries. CIE put together a database of “Faculty by Country” from the faculty survey data, listing faculty name and departmental affiliation, with connections they indicated in the survey, by country and region. This provides an initial list of faculty geographic experience and concerns enabling the campus to build geographic connections and strengths.

Throughout the year, the committee has been pondering how best to facilitate motivated and sustained faculty involvement in building overseas connections. The idea of **Faculty Regional Work Groups** was mooted in the SEC Framework for International Engagement. In an initiative parallel to CIE discussions, the EVC hosted a series of lunches in winter and spring discussing how best UCSC can engage with key countries, including China, India and Japan. CIE would like to see faculty regional work groups expand beyond the purpose of overseas collaborations and recruitment, to include the goal of strengthening regional expertise and interdisciplinary connections with the potential to improve the quality and breadth of both research and teaching on campus. That is, faculty regional work groups could also become a space for faculty with overlapping geographic connections to both build community and share knowledge resources for their international research and teaching interests. CIE has outlined a plan for minimally time consuming, networked faculty groups for building regional and country connections across the campus and hopes to implement that in the coming year.

CIE’s faculty survey also contained open-ended questions about how the campus should enable faculty international work, and general comments about campus internationalization. CIE analyzed these first by the frequency of topics raised and then with an examination of their content.

**Frequency of topics:** The most frequent responses from participants regarding support included funding and travel funds for research, collaborations and conferences; funding support for research, area studies and international issues; increased support for graduate students through reduction of non-resident tuition and summer internships; language training at all levels; housing support; and support for navigating/simplifying visa processes. Summary comments and their frequencies are listed in the table below.

**Themes in faculty comments:** Several themes emerged when participants were asked to comment generally about UCSC internationalization. Some faculty expressed worry that a renewed focus on international projects not take away from UCSC’s core mission of public higher education, and while many faculty noted how incoming international students enrich the campus and raise the quality of our programs, the faculty also cautioned against using international students as a revenue stream, and noted the importance of supporting students from a variety of backgrounds. Faculty also noted the importance of providing support for international scholars at all levels, citing the

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2 This list omits the names and affiliations of faculty who noted in the survey that they did not want to be identified or further contacted.

3 The Senate Executive Committee’s report, *Proposal for a Framework for International Engagement* (February 2015) called for the campus Senior International Officer to establish faculty regional work groups to facilitate international outreach and collaboration.
cost of housing, issues with visa processing, and the complexities of advising international students.

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<thead>
<tr>
<th>Topic</th>
<th># of Comments</th>
<th>Summary</th>
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<tbody>
<tr>
<td>Travel funds</td>
<td>20</td>
<td>Travel for research, collaboration and conferences</td>
</tr>
<tr>
<td>Funding - support</td>
<td>13</td>
<td>Funding for research, collaboration, international conferences, area studies and international issues at UCSC</td>
</tr>
<tr>
<td>Collaboration</td>
<td>10</td>
<td>Facilitate collaboration and agreements, faculty exchange programs</td>
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<tr>
<td>Inbound Grad students</td>
<td>8</td>
<td>Reduce Non Resident Tuition, summer internships</td>
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<tr>
<td>Language</td>
<td>7</td>
<td>Languages at all levels and training to fit faculty schedules, more languages</td>
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<tr>
<td>Housing</td>
<td>7</td>
<td>Housing for visiting scholars and short term visitors</td>
</tr>
<tr>
<td>Visas</td>
<td>7</td>
<td>Simplify visa procedures for international postdocs, students and scholars. Single yr. appointment of postdocs hassle</td>
</tr>
<tr>
<td>Support from admin</td>
<td>4</td>
<td>Support for research collaborations, international summer program, clarity on risk planning</td>
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<tr>
<td>Inbound international undergraduate</td>
<td>4</td>
<td>Ensure well prepared, English speaking</td>
</tr>
<tr>
<td>Framing internationalization</td>
<td>3</td>
<td>Broad, include human rights, and need more faculty teaching international issues</td>
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<tr>
<td>Inbound faculty</td>
<td>3</td>
<td>Simplify procedures for hosting faculty from overseas</td>
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<tr>
<td>Outbound undergrads</td>
<td>2</td>
<td>Better articulation, support, and scheduling for science students</td>
</tr>
<tr>
<td>Expansion of contacts</td>
<td>2</td>
<td>Indonesia and France</td>
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<tr>
<td>Faculty led programs</td>
<td>2</td>
<td>Support for faculty led programs taking undergraduates overseas</td>
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<tr>
<td>Outbound faculty</td>
<td>2</td>
<td>Sabbatical for teaching as well as research. Need contacts database</td>
</tr>
<tr>
<td>Other</td>
<td>2</td>
<td>Need workshop on internationalization; faculty also active in international professional groups</td>
</tr>
<tr>
<td>Outbound grad students</td>
<td>2</td>
<td>Develop collaborations so students have network, small research/travel grants for students</td>
</tr>
</tbody>
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Sum 98*

CIE Faculty Survey 2013-14: Open-Ended Comments Summary

*Comments with only one supporter have been omitted. Not all faculty made comments. Some made more than one.
The most notable trend in the responses, however, was overwhelming support for further international initiatives at UCSC. There were many comments noting that such initiatives are “much needed.” One faculty member commented that “I think it is a fantastic ambition to make UCSC a more international, more outward looking campus.” Faculty also provided significant comments on a vision of what internationalization entails, including expanding UCSC’s focus on area studies. Many of these focused on regions where people are already invested in research and exchange, for example, one faculty member noted “[we should] provide more funding for programming in area studies, particularly South Asia—that is linked not only to arts, culture, and business, but directly addresses questions of gender, development, and globalization.”

The survey responses suggest that faculty have a vision for internationalization that is in tune with a wide range of perspectives, including the benefits of internationalization and the potential pitfalls of internationalization that is not carefully envisioned and strategically implemented, and at its core suggests that research should be at the leading edge of our internationalization efforts.

Changes to Campus Administrative Structure for Internationalization
At the Senate meeting of May 29, 2015, the CP/EVC announced plans for the creation of a Vice Provost for International Engagement position on the campus, which is planned to oversee Education Abroad programs, recruitment of undergraduate and graduate students, research affiliations for faculty, and international agreements for collaboration (e.g. MOUs) on campus. The committee took the opportunity to respond to the announcement by reviewing key ideas that have emerged from the Senate’s discussion of internationalization this year, and that the committee would like the CP/EVC to consider as the new Vice Provost job description and administrative structure for internationalization is developed by the campus. In a memo dated June 18, 2015, CIE articulated recommendations under four broad themes/needs for the campus:

- **The challenge to build a strategic and collaborative process for global engagement**, which includes the need to institute a deliberative process that can draw faculty, departments, and the Senate into conversation with the administration to institute necessary changes and develop an Office of International Engagement,
- **Research as the leading edge of our outward move**, which includes international collaborations and activities that connect research, teaching, recruitment, and partnerships,
- **International undergraduate and graduate recruitment**, which includes expanding the pool of qualified undergraduate and graduate applicants through cultivation of collaborative pipelines combining research and recruitment, expanding the geographic and socioeconomic diversity of the applicant pool, and providing social and cultural support needed for international students once they arrive on campus, and
- **Building faculty regional work groups** with the goal of strengthening regional expertise and interdisciplinary alliances, in order to improve the quality and breadth of both research and teaching on the campus. The committee will continue to monitor this issue and engage with the administration as the changes to the campus structure for internationalization are envisioned, developed, and implemented.

Local and Systemwide Issue Review
In addition to the issues identified in earlier sections of the report, the committee reviewed and commented on the following issues and/or policies:
Consultations
CIE consulted with Senior International Ferguson (SIO) on his vision for global engagement (November 2014), with Special Advisor to the Chancellor for International Initiatives (SACII) Anu Luther on the issue of strategic international partnerships and the direction of UCSC international partnerships (March 2015), and jointly with SIO Ferguson and SACII Luther on their roles, collaborations, and perspectives on campus internationalization and processes for establishing international agreements (February 2015). The committee also consulted with Vice Provost and Dean of Graduate Studies Tyrus Miller on the issue of proactive recruitment and support for international graduate students, including non-resident graduate tuition (January 2015).

Continuing Issues for CIE in 2015-16
- Promoting a Faculty Driven Vision for Internationalization: the committee will continue to engage, collaborate, and participate in issues, activities, and discussions that advance and promote a collaborative, cross-campus, faculty driven vision for internationalization, including:
  - Administrative plans for the creation of a new Vice Provost position for International Engagement and a new administrative structure for internationalization
  - Development of Faculty Regional Work Groups
  - Campus plans for internationalization and graduate growth

- Monitor Development of Administrative Process for Campus International Agreements: the committee will continue to proactively engage the administration on this issue.

Respectfully submitted;
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