

COMMITTEE ON THE LIBRARY & SCHOLARLY COMMUNICATION

Annual Report 2011-12

To the Academic Senate, Santa Cruz Division:

The Committee on the Library and Scholarly Communication (COLASC) met bi-weekly throughout the academic year. With major developments both system-wide and globally in regards to open access issues, the future of an open access policy for the University of California remained a central focus for COLASC during the 2011-2012 year. COLASC played an active role by seeking campus faculty feedback on a system-wide draft Open Access Policy and sharing campus comments with the University Committee on Library and Scholarly Communication (UCOLASC), thereby assisting in the further development of a formalized system-wide policy.

As current fiscal realities continue to force the campus to make choices about resources central to campus research and educational missions, this year COLASC sought to understand how the Library could respond to these challenges and best serve faculty and students. COLASC surveyed campus needs with regards to the Library and open access by conducting two major campus surveys in the fall and spring quarters. In addition, during the spring quarter, the committee consulted with two UC Foundation Trustees, about ways to build momentum in support of the Library in light of looming cuts to its budget.

Open Access Policy

Support for open access to scholarly work increased significantly this year as scholars seek ways to maximize the availability and impact of their work within the current digital age of dissemination. In April of 2012, California Congressman Darrell Issa stated that he would not be taking legal action on H.R. 3699, Research Works Act. The Research Works Act bill introduced by Representative Issa in early 2011, aimed to prohibit open-access mandates for federally funded research, thereby nullifying the National Institute for Health's (NIH) Public Access Policy requiring taxpayer funded research to be accessible online. COLASC wrote to Congressman Issa to note their support of his decision to drop the bill and to request and encourage continued support for initiatives that will increase, rather than hinder, open access to federally funded research works.

The University Committee on the Library and Scholarly Communication (UCOLASC) developed a draft Open Access Policy (March, 2012) that will be reviewed and vetted by the Academic Senates of all ten UC campuses during the 2012-13 academic year. COLASC is supportive of the policy and is hopeful that it will eventually be adopted. The policy being considered would require faculty to deposit scholarly work into open access repositories to allow the public to view taxpayer-funded research and scholarly activities, and offer an economic and sustainable alternative to fee-based access. The Academic Senate at UC San Francisco (UCSF) unanimously voted to adopt a similar open access policy for the UCSF campus in May 2012, which further motivated the campaign for an official system-wide policy.

UCSC COLASC reviewed the system-wide draft and shared the draft policy with UCSC Senate faculty via email in April of 2012. Several individual faculty members shared their comments with COLASC, as did the Committees on Planning and Budget (CPB) and Academic Personnel

(CAP). In addition, COLASC had the opportunity to discuss the proposed policy with the Senate Executive Committee (SEC) on April 24, 2012, during which SEC members offered their in-meeting comments.

The comments of all parties were incorporated into an official letter of response to the system-wide Draft Open Access Policy that was sent to UCOLASC Chair, Christopher Kelty on May 22, 2012. The letter highlighted many of the perceived benefits of a system-wide Open Access Policy and expressed COLASC's overall support of the proposed policy's primary goal to make faculty scholarship more widely available and accessible. The response also noted that dual authorship, part time appointments of authors, questions regarding "obligation" versus "requirement," the time frame for making deposits into repositories, and the cost of the overall endeavor should be addressed in a final draft. Additionally, COLASC recommended that the intended definition of several terms used in the draft policy should be clarified, including the terms "scholarly article" and "author's final version."

To further engage and inform campus faculty on the topic of open access, Chair Manduchi met with the Dean's Advisory Council on June 7, 2012 and gave a presentation on open access and the draft system-wide policy. Following this discussion with divisional Deans, the committee's intention is to follow up with COLASC members contacting the faculty in their respective departments in 2012-2013 to ensure that faculty are aware of, and informed about, open access.

Library Surveys

New ways of producing and disseminating scholarship are transforming the roles and services of the University Library. COLASC supported the Library's participation in a national survey of undergraduates with regards to library usage, led by the University of Florida (Fall 2011). COLASC later surveyed faculty and graduate students (Spring 2012) in order to gain a better understanding of how the Library can respond to current fiscal and intellectual changes and best serve campus faculty and students.

Undergraduate Survey

The results of the undergraduate survey showed that students are heavily using the library space to study, browse collections, and use computer workstations, but that they are not as frequently using services provided by the Library. The Library plans to use the undergraduate survey data to further streamline their efforts, continue to consult with COLASC, and host focus groups with students to learn how the Library may better serve the campus undergraduate community.

Faculty and Graduate Student Survey

In an effort to determine how the Library serves other campus members and assess the campus climate regarding open access policy, COLASC generated questions for a Faculty/Graduate Student Survey that took place during the spring quarter. By way of a team effort of Committee Analysts, the Academic Senate Office, and Library Staff, the survey was formatted and distributed via email to campus Faculty, Graduate Students, Lecturers, and Postdoctoral Scholars. An impressive number of campus community members participated in the survey. The results imply that the Library continues to be a highly utilized resource on campus (60% of respondents noting that they frequently consult with reference Librarians.) As such, the survey provided the Library with information that will assist in the further tailoring and development of library resources and programs. As well, with a low number of respondents

claiming to have published in open access journals, the survey highlighted the need for increasing awareness regarding open access on campus.

Consultations and Correspondence

Communication with the UCSC University Librarian

In 2011-2012, COLASC continued its communication with University Librarian, Ginny Steel, who served *ex officio* on the committee. Librarian Steel provided invaluable updates to the committee on the latest library related issues and developments from the System-wide Library and Scholarly Information Advisory Committee (SLASIAC). Updates this year included the University of California Libraries Shared Services Assessment (May 2012), pending McHenry journal subscription reductions, the rededication of McHenry Library ceremony on April 27th, and the opening of the McHenry Grateful Dead Archive during spring quarter.

Consultations

COLASC consulted with the following individuals in 2011-12:

- UCSC Math Department Representative regarding library space and directional signage
- UC Foundation Trustees, Gary Novack and S.B. Master regarding building support for the Library

Official Correspondence

COLASC commented on the following documents in 2011-12:

- Response to ITS External Review (October 2011)
- Response to Campus Faculty Member Regarding Fines for Late Reserve Books (January 2012)
- Response to UCSC Climate Study Faculty Survey (April 2012)
- Response to Strategic Academic Plan for Silicon Valley (April 2012)
- Letter to Representative Darrel Issa Regarding UC Santa Cruz COLASC Opposition to HR 3699, Research Works Act (April 2012)
- Response to Proposed ITS WiFi Policy (May 2012)
- Letter to UCOLASC Chair Chris Kelty re: the Proposed System-Wide Open Access Policies (May 2012)
- Letter to Senate Chair Gillman re: Open Access Discussion at the SEC Meeting on April 24th (May 2012)

COLASC Representation

The COLASC Chair served as the campus representative on the University Committee on the Library and Scholarly Communication (UCOLASC) meetings.

Professor Roberto Manduchi will continue to serve as Chair of COLASC for the upcoming academic year (2012-13).

The Committee on Library and Scholarly Communication was assisted by Committee Analysts Michael Tassio (Fall/Winter 2011-12), and Jaden Silva-Espinoza (Winter/Spring 2012.)

Respectfully submitted,

COMMITTEE ON THE LIBRARY & SCHOLARLY COMMUNICATION

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