

**COMMITTEE ON PLANNING AND BUDGET  
MINUTES  
November 2, 2017**

**Present:** Carl Walsh (Chair), Ólöf Einarsdóttir (*ex officio*), Kimberly Lau (*ex officio*), Elizabeth Abrams, Doug Bonett, Matthew Clapham, Jonathan Kahana, Tracy Larrabee, Jie Qing, Nirvikar Singh, Dan Oliver (Graduate Rep), Matthew Mednick (Senate Director), Esthela Bañuelos (Senate Analyst)

**Absent:** Cormac Flanagan, Maxine Jimenez (Undergrad Rep)

**Members Items**

Senate Chair Einarsdóttir provided an update on the Senate Executive Committee meeting of October 31. She reported that topics of discussion included the Long Range Development Plan (LRDP) process and Academic Analytics.

**Review: Proposed Intellectual Property Policy**

The committee reviewed three proposed Intellectual Property (IP) Campus Policies: 1) Patent Royalty Disposition Policy (extension to UC Patent Policy), 2) Copyright Royalty Disposition Policy, 3) Tangible Research Product Royalty Income Disposition Policy. The policies are intended to bring campus practice to a consistent model and allow for partially funding operational costs within the Industry Alliance and Technology Commercialization (IATC) unit in the Office of Research. The proposal called for allocating the 15% share currently identified as “research share” to IATC. Currently, there is no standard practice for allocating this share of patent proceeds, but it generally goes to the inventor’s lab or division, while no official policy exists for sharing copyright proceeds. CPB noted its support for efforts to develop a clearer, more transparent and consistent policy for distributing patent and copyright royalty income, but expressed concerns that only UCSB has adopted a similar policy. Members also identified a lack of analysis of the costs and benefits of the proposed reallocation as well as lack of analysis of other means of financing IATC as well as the IATC’s lack of engagement with questions posed by the Committee on Research about the proposal. While not in support of this proposal, the committee expressed openness to consideration of a revised proposal.

**Review: Proposal for Intensive History Major**

The committee reviewed the proposed Intensive History major. The committee noted its support for the proposal, citing a clear and compelling rationale and concurring that no new faculty, staff, or space resources were requested.

**Review: CP/EVC Draft FTE Call Letter**

Members reviewed the CP/EVC’s draft 2018-19 FTE call, and deliberated areas for input, including evaluation criteria. The committee will forward substantive comments on the draft call to the CP/EVC.

**Consultation: CP/EVC**

The committee consulted with CP/EVC Tromp on the 2018-19 FTE draft call. CP/EVC Chief of Staff Linda Rhoads attended the consultation.

**Consultation: VPDUE Hughey and AVCEM Whittingham**

The committee consulted with Vice Provost and Dean of Undergraduate Education Richard Hughey and Associate Vice Chancellor of Enrollment Management Michelle Whittingham on review of admissions outcomes and targets and strategies for the following year. Additional topics will include 2:1, undergraduate capacity and demand, summer session, and updates on use of consultants for undergraduate yield forecasting. VPDUE Hughey provided written responses to a set of CPB questions ahead of the

consultation. Topics that generated the most discussion included 2:1 and Summer Session. VPDUE Hughey discussed outreach and networking to community colleges, and AVCEM Whittingham discussed the goal of meeting the 2:1 mandate over a gradual three-year period. Members raised questions about student success and retention, impacts on frosh, as well as specific issues related to nonresident and out of state students (including quality of the applicant pool). VPDUE Hughey noted the recent growth in summer enrollments and plans for continued growth, as well as plans to increase faculty led international summer courses.

**Planning: November 9 Meeting**

The committee briefly discussed planned items for its next meeting.