

**COMMITTEE ON PLANNING AND BUDGET
MINUTES
May 17, 2018**

Present: Carl Walsh (Chair), Ólöf Einarsdóttir (*ex officio*), Kimberly Lau (*ex officio*), Elizabeth Abrams, Doug Bonett, Matthew Clapham, Cormac Flanagan, Tracy Larrabee, Jie Qing, Nirvikar Singh, Dan Oliver (Graduate Rep), Matthew Mednick (Senate Director), Esthela Bañuelos (Senate Analyst)

Absent: Jonathan Kahana, Maxine Jimenez (Undergrad Rep), Dylan Jones (Undergrad Rep)

BSOE Reshaping Proposal

With members Flanagan and Larrabee recused, CPB continued its review of the Baskin School of Engineering Reshaping proposal. CPB will send its confidential final response to the proposal to VPAA Lee.

Members Items

Member Larrabee provided an update on the Advisory Committee for Campus Planning and Stewardship (CPS) meeting of May 15, 2018. She reported that they are currently discussing the projects for the Capital Financial Plan (CFP) list. Members stated that when CPSM Director Karen Smith provides the final list for CPB review later this quarter, there should be a cover letter that helps contextualize and clarify the request for review.

The committee briefly discussed items for its next meeting.

Off-Cycle Second Hire Request: Social Sciences (Continued)

The Social Sciences Division requested authorization for an off-cycle second hire recruitment for the Education Department. The committee continued its review begun at the May 10, 2018 meeting. CPB will forward its recommendation to the CP/EVC.

SAP: Themed Academic Working Group (TAWGs) Proposals

The committee concluded its review of the Themed Academic Working Group (TAWG) proposals. Members were impressed with the intellectual breadth and range of important issues addressed in the proposals and with the engagement of so many faculty in the Strategic Academic Planning (SAP) process. Members noted that the valuable input from the TAWGs will be crucial in helping the campus build national and international prominence around critical themes. Members agreed that the proposals also highlighted the need to reduce barriers to interdivisional and interdepartmental collaborations and to generate resources that can benefit a broad range of academic programs across the campus.

CPB expressed its strong support for the idea that the campus needs a Strategic Academic Plan (SAP) to guide overall campus planning and to evaluate proposals with resource implications that come before CPB. CPB agreed that the SAP needs to serve not as a favored list of specific projects to receive financial support but rather as an articulation of priority areas that can then serve to guide choices among specific projects. Thus, members emphasized that choosing to support some TAWGs and not others is not in itself a SAP; these choices should emerge as an outcome of a SAP. The TAWGs can, however, help inform the discussion of themes, as well as provide potential avenues for investments once priority themes are adopted. To that end, CPB discussed the themes it viewed as emerging from the TAWGs, the pros and cons of each theme, and then the specific TAWGs that it evaluated as most promising in helping the campus achieve its research and teaching objectives within each broad theme.

CPB also agreed that it was extremely important to note that UCSC already has many strong programs, departments, and areas of multi-disciplinary and interdisciplinary research excellence. CPB noted that most of the TAWGs build upon these existing strengths, but not all campus strengths are necessarily reflected in the TAWGs. Further, members agreed that investing in specific TAWGs is not the only way to build excellent research programs, expand undergraduate and graduate opportunities, meet the needs of the student populations we aim to serve, and ensure the campus can generate the resources needed to achieve our campus goals. Areas of research and programmatic excellence aligned with campus themes must be supported, regardless of whether they are represented by one (or more) of the TAWGs. CPB's evaluation of the TAWGs will be sent to the Senate Chair, who will then provide the Senate's responses to AVPAA Berger.

Consultation: CP/EVC

The committee consulted with CP/EVC Tromp. She was accompanied by VPAA Herbie Lee and AVC Grace McClintock. CP/EVC Chief of Staff Linda Rhoads was also in attendance. Topics of discussion included the FTE process and the process for post FTE authorization changes in sub-disciplines or fields. On the latter topic, CP/EVC Tromp stated that any change would be flagged by VPAA Lee, who would then seek out justification for the change and if significant, forward to CPB for consultation.

Members also discussed process for second hire requests in context of academic planning. CPB raised that in its advisory role, it is most helpful when CPB has the complete information it needs to advise the CP/EVC. CP/EVC Tromp noted that her decision letters would provide more information on what was considered rather than a pro-forma response. CP/EVC Tromp and members also discussed expanding consultation with the divisional deans, as one way to enhance communication.

Target of Excellence (TOE) Waiver of Open Recruitment Request

The Literature Department in the Humanities Division submitted a request for a waiver of open recruitment in order to pursue a TOE appointment. With Senate Vice Chair Lau recused, the committee reviewed the request. CPB will forward its recommendation to the CP/EVC.