

**COMMITTEE ON PLANNING AND BUDGET
MINUTES
February 15, 2018**

Present: Carl Walsh (Chair), Ólöf Einarsdóttir (*ex officio*), Kimberly Lau (*ex officio*), Elizabeth Abrams, Doug Bonett, Matthew Clapham, Cormac Flanagan, Tracy Larrabee, Jie Qing, Nirvikar Singh, Dan Oliver (Graduate Rep), Maxine Jimenez (Undergrad Rep), Dylan Jones (Undergrad Rep), Matthew Mednick (Senate Director) Esthela Bañuelos (Senate Analyst)

Absent: Jonathan Kahana

Members Items

Member Abrams provided an update on the external review closure meeting for the History Department, which took place February 14, 2018.

Senate Chair Einarsdóttir provided an update on the Academic Assembly meeting of February 14, 2018. She reported that topics of discussion included the Huron report, faculty salaries, tuition and budget, and a consultation with President Napolitano about DACA (Deferred Action for Childhood Arrivals) and work being done to support students.

CEP Letter on Kresge Project

CPB discussed the Committee on Educational Policy's (CEP) recent letter on the size of the large lecture room planned for the Kresge Project academic building. Members pointed out that CEP's letter raised serious concerns with the plans for a 600-seat classroom, and applauded CEP for its work in polling departments in BSOE and PBSci as a means of assessing the need for a 600-seat classroom. Members noted that it was clear from CEP's analysis that departments in these two divisions were not convinced that they needed a 600-seat classroom for their current and future teaching nor that it would be educationally beneficial.

Until CEP took it upon itself to do this analysis, CPB had not seen any detailed assessment of the actual need for a lecture room as large as 600 seats, the pedagogical implications of such a large classroom, the implications for time-to-degree, and, in general, the consequences for the quality of the undergraduate experience at UCSC. CPB agreed with CEP that current needs may be better met by reducing the size of the largest classroom at Kresge to something around 520, thereby enabling a classroom of 200-220 seats to be added to the campus classroom inventory, and by ensuring an adequate number of smaller classrooms are included in the project.

Members also acknowledged that the Deans are supportive of the plan to incorporate a 600-seat classroom at Kresge, and that they may have compelling counterarguments to the case for a 520/200 configuration that CEP has made. If so, CPB noted it would like to see the administration address the issues raised in CEP's letter and to have a formal response provided to the Academic Senate. Members agreed that a well-articulated argument against proceeding with a 600-seat classroom at Kresge had been made, and that it was up to the proponents of the current plan to make their case.

Proposed Adjustment to Salaries for Further Above Scale Merits

With Chair Walsh recused, the committee reviewed the CP/EVC's proposed adjustment to salary increases for standard further above scale merits. The proposed adjustment would be 11% of the published step 9 rate on the applicable scale, instead of a fixed amount, and effective for the 2017-18 review year. The committee supported the proposed adjustment.

2018-19 Faculty FTE Review

The committee continued its review of the divisional faculty FTE requests and also began review of the CTOE requests received this week.

Consultation: CP/EVC

The committee consulted with the CP/EVC on several issues, with VCPB Peggy Delaney and CP/EVC Chief of Staff Linda Rhoads also in attendance. CP/EVC Tromp invited VPAA Herbie Lee and AVC Grace McClintock in order to discuss CPB's draft internal guidelines for review of waiver of open recruitment requests and APO proposed changes to CAPM 101.000. The discussion focused on the possibility of incorporating the department vote in order to assess department commitment to a waiver of open recruitment candidate.

Other consultation topics included the Competitive Target of Excellence (CTOE) evaluation criteria and a discussion of the Centers of Excellence proposal in the context of the Strategic Academic Planning process currently underway.

Review of IATC Response to Proposed Intellectual Property Policies

CPB discussed the response from Assistant Vice Chancellor for Research Mohamed Abousalem from the office of Industry Alliances & Technology Commercialization (IATC) within the Office of Research (OR), intended to address Senate concerns emerging from the fall 2017 review of the proposed Intellectual Property draft policies.

While CPB continued to agree it would be desirable to provide a revenue stream to IATC, especially if it would result in a more effective operation that generates greater net revenue for the campus, the committee was not provided with the information necessary to give informed advice on this proposal, which calls for allocating the 15% share currently identified as "research share" to IATC. Instead, IATC's response provided a one-sided analysis seeking to shift a current funding stream from faculty and the deans to IATC, and did not address CPB's previously stated concerns about the relative costs and benefits of the proposed reallocation. CPB concluded that an informed decision would need a complete picture of the opportunity costs of directing these funds to IATC, as well as an evaluation of alternative models for funding IATC. Members noted that assessing this alternative would also require understanding the opportunity cost of such a change. Without this previously requested information, CPB decided it could not evaluate the request

Consultation: Arts Dean

The committee consulted with Arts Dean Susan Solt on the division's 2018-19 FTE recruitment requests. Arts Dean Solt was accompanied by Assistant Dean Stephanie Moore. Graduate Council Chair Gina Dent and Committee on Educational Policy Chair Onuttom Narayan attended the consultation.

Planning February 22 Meeting

The committee briefly discussed items for its next meeting.