

## COMMITTEE ON RESEARCH Annual Report 2004-2005

*To the Academic Senate, Santa Cruz Division:*

The following describes the activities of the 2004-2005 UCSC Committee on Research (COR).

### **I. COR Activities**

#### **COR Budget**

COR base funding was increased in 2004-05 by a permanent \$36,550 allocation resulting from an increase in campus indirect cost recovery funds. Although COR funding was substantially increased in 2001-02 and again in 2002-03, and has been further increased in the last three years due to increased indirect cost recovery, the effects of State budget cuts in 2001-02 and 2002-03, faculty growth, and inflation have left per capita funding at a level only marginally higher than the low point in 1999-2000.

#### **COR Grants Program**

The committee devoted substantial attention this year to its grants programs. Having realized that many faculty were unaware of the Special Research Grants (SRGs), the committee publicized the program more widely. This was successful, as more than twice the number of applications was submitted in 2004-05 than in the previous year. COR established a subcommittee which took concrete steps towards an electronic application process, to be implemented in 2005-06. In preparation, COR applications were streamlined so as to eliminate attachments, the subcommittee outlined goals for the new process, and, in the late Spring, an initial consultation with IT took place.

COR revised the SRG reporting form in the hope of receiving more useful information about how SRGs enhance the research activities of both individual faculty and the campus community.

For conflict of interest reasons, the committee voted unanimously that members of COR during any academic year will be ineligible to submit SRG applications during that year. Although there is some concern that it will be more difficult for COC to recruit members for COR, the committee suggested to COC that it consider past recipients of SRGs, who have low priority for receipt of a subsequent SRG for a period of five years.

#### **Review of Organized Research Units**

Member John Thompson represented COR at the closure meeting for the five-year review of the Institute for Marine Science (IMS). The five-year review of the Santa Cruz Institute for Particle Physics (SCIPP) came due in 2004-05, but was postponed. COR

recommended to VCR Miller that the review be scheduled for the 2005-06 academic year.

Since 2000, authority for ORU reviews has devolved to the campuses, with each campus expected to put in place an ORU review policy. COR worked with the Office of Research to draft a policy. A draft ORU Guidelines document approved by COR was distributed in late Spring by the Office of Research to the Deans for comment. Under the draft guidelines, relevant committees of the Senate are consulted on the draft charge, and COR is consulted on the slate for review committee members.

### **Other Activities and Pending Matters**

The committee consulted with a number of administrators and administrative committees during the year. The VCR attended most of COR's regular meetings (5 out of 7), reporting on current research activities and issues. COR met several times with Caitlin Deck, Compliance Officer, on issues related to export control, research integrity policy, and training for new PIs. Earlier in the year, it met with representatives from IT for a briefing on the centralization process. COR consulted with the chair of the Senate Committee on Computing and Telecommunications concerning the advisability of a COR representative on the Information Technology Committee. The Campus Provost/EVC subsequently approved addition of a COR representative to this committee. However, it was not feasible to appoint a member during the current year.

COR worked with the Senate Committee on the Library on issues of common concern. The two committees cosponsored the *Senate Forum on Scholarly Publishing* held in May and COR reviewed the draft Senate Resolution on Scholarly Publishing.

The committee responded to requests from the Divisional Senate for comment on the *Academic Council Resolution on Restrictions on Research Funding Sources*, on *Senate Regulation 600B*, on *Systemwide Strategic Directions for Libraries and Scholarly Information at the University of California*, on *Interdisciplinary Activities at UC*, the *LRDP*, and the *WASC Report*. It responded to requests from the Office of Research for nominations for the UCSC Institutional Review Board (IRB) and for representatives to the systemwide research compliance advisory committee.

The chair received a query from a faculty member concerning difficulties in obtaining reimbursement of payment for copyright permissions. After consultation with several campus business offices, it was determined that because such payments are taxable, they must be made to the copyright owner directly by the University and reported on a 1099 form to the IRS.

COR was concerned about the low visibility of Arts, Humanities, and Social Science research on the campus Research webpage (<http://www.ucsc.edu/research/>). The COR chair met with Vice Chancellor for University Relations Ron Suduiko and Associate Vice Chancellor for Communications Elizabeth Irwin to discuss possible solutions. COR members in Arts and Social Science also brought the issue to the attention of their deans'

offices to encourage better communication between divisional research support staff and University public relations.

## **II. Allocation of COR Grant Funding**

A major activity of COR is to evaluate proposals for scholarly travel, research and other scholarly activity. COR funds support all areas. This year, COR maintained the level of funding available under the Special Research Grants (SRG) program at \$15,000 for individual proposals and \$20,000 for collaborative projects. Over all three of its grant programs (FRG, SRG, Scholarly Meeting Travel), COR awarded a total of \$503,213.

### Special Research Grants

Applications received/funded: 51/21

Total awarded: \$203,282

Breakdown: number awarded/amount

|      |            |
|------|------------|
| Arts | 4/\$37,500 |
| Eng  | 3/\$21,000 |
| Hum  | 4/\$47,126 |
| PBS  | 5/\$47,900 |
| SS   | 5/\$49,756 |

### Faculty Research Grants

Applications funded: 116

Total awarded: \$185,094

Breakdown: number awarded/amount

|      |              |
|------|--------------|
| Arts | 34/\$61,520  |
| Eng  | 2 / \$ 3,546 |
| Hum  | 28/\$38,937  |
| PBS  | 10/\$13,504  |
| SS   | 42/\$67,587  |

### Scholarly Meeting Travel

Applications funded: 162

Total awarded: \$114,837

Breakdown: number/amount

|      |              |
|------|--------------|
| Arts | 29/\$23,807  |
| Eng  | 8 / \$ 7,000 |
| Hum  | 41/\$26,218  |
| PBS  | 21/\$14,932  |
| SS   | 63/\$42,880  |

## **III. University Committee on Research Policy (UCORP) Activities**

COR chair Judith Aissen served as the UCSC representative to UCORP. In addition to its usual workload reviewing multi-campus research units, UCORP continued its discussions with the Office of Research concerning the Senate's formal recommendations on restructuring the MRU review process. UCORP co-signed with the University

Committee on Planning and Budget (UCPB) a Statement on the Re-circulation of MRU Funds that urged the formation of a joint Senate/administrative task force to expedite the implementation of a new review process that would recycle UCOP funds to support new research initiatives. UCORP continued to participate in on-going discussions of how the CAL ISIs should be reviewed. UCORP's "Resolution on Restrictions on Research Funding Sources", endorsed by Academic Council in Summer 2004, was sent out by Council for further review by the Divisions and other Academic Senate committees. The review resulted in full Senate approval of an amended version of the Resolution that is consistent with the original document but adds procedural clarifications, and which UCORP endorsed.

More details on UCORP activities may be found in the UCORP annual report, <http://www.universityofcalifornia.edu/senate/committees/ucorp/reports.html>.

#### **IV. COR Representation**

In addition to representation on UCORP, COR was represented through its chair on the Senate Executive Committee. That committee also met several times with the Chancellor's Advisory Board.

Finally, COR wishes to express its appreciation to Laurie Babka, Senate Committee Advisor, who continued to provide excellent support and advice to the committee.

Respectfully submitted,  
COMMITTEE ON RESEARCH

Rebecca Braslau  
E.G. Crichton  
Dan Friedman  
Jerry Neu  
Abe Seiden  
Ali Shakouri  
John Thompson  
Avril Thorne  
Judith Aissen, Chair

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