Committee on Research
COR Grants: 2008 to 2013

• Selectivity Rate: FRG = 76%; SRG = 43%
  • Seed new research, help junior faculty

• Scholarly Meeting Travel (SMT) Program
  • Visibility for UCSC: faculty presence at meetings
  • 817 conference participations

• Impacts *all areas of expertise* across campus

• 100% of COR funds goes to the faculty

• Complete budget transparency
Impact of COR Grants: 2009 to 2012

- $6,492,083 in external funding
- 62 external grants sought
- 156 publications
- 15 books
- 26 performances or exhibitions
- 25 presentations
- 7 fellowships
- 3 CDs
- 2 films
**Incremental Federal Indirect Cost Receipts Generated**

- **Off-the-Top Fund**
  - Campus Share (94%)
    - $18,706
  - UCOP Share
    - $1,194

- **University Opportunity Fund**
  - Campus Share (94%)
    - $33,882
  - UCOP Share
    - $2,163

- **General Fund Support**
  - Campus Share (94%)
    - $41,412
  - UCOP Share
    - $2,643

**Purpose:**

- **Off-the-Top Fund**
  - Campus Share (94%)
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6% - UCOP Tax ($12K)
25% - ($50K) central to cover cost increases in OTT-funded activities
Remaining:
- 45% ($62K) acad div
- 10% ($14K) VCR
- 20% ($28K) BAS
- 25% ($34K) EVC units

**Purpose:** To help fund costs associated with administering contracts and grants.

**Purpose:** To help bolster funding for research activities, matching funds, infrastructure, capital, debt, etc.

**Purpose:** To help fund the General Fund budget and to support fixed costs increases (e.g., inflation, merits, range adjustments, etc.)
Grandparented ICR?

- ICR distribution applies to *incremental* ICR only (positive and negative)

- 2008-09: ICR base locked in place, unclear the level or rationale

- The 20% Off-the-Top and 36% University Opportunity Funds need to be re-examined
The 36% University Opportunity Funds

• Justification for the distribution formula never discussed with Senate
  • Intended to bolster research

  COR:  5% → 10%  (of 36% of 94% = 3.4%)
  • 100% return to faculty with high research impact
  • Small decrease in other % = large increase for COR

  PI:   0% → 5%  (of 36% of 94% = 1.7%)
  • Incentivize research at a time of increased GSR tuition, stipends, fringe benefits, ICR rate, reduced infrastructure and funding levels
  • Unrestricted 19900 funds for new directions → additional ICR
Under the current distribution formula:

![Bar chart](chart.png)

- **COR Grants**
- **UOF to Divisions (40%)**
- **VCR – Data Requested but not Provided**
- **UOF to PI**
Under COR’s suggested new distribution formula:

- Limit return to PI, e.g. $10,000 / yr
- Restrict FRG/SRG eligibility accordingly for that year

- Increase in ICR to COR and PIs would both lead to more ICR

*Increase in ICR to COR and PIs would both lead to more ICR*
Opportunity Fund: To Help Bolster Funding for Research (currently)

University Opportunity Fund

Campus Share (94%)

- Academic Division: $13,553 (40%)
- Central Resources: $13,553 (40%)
- VC Research: $5,082 (15%)
- COR Grants: $1,694 (5%)

UCOP Share: $2,163

6% to UCOP
Opportunity Fund: To Help Bolster Funding for Research (COR’s suggestion)

36% of the University Opportunity Fund goes to the Campus Share (94%).

- Academic Division: $12,536 (37%)
- Central Resources: $12,536 (37%)
- VC Research: $3,727 (11%)
- COR Grants: $3,388 (10%)
- Back to PI: $1,694 (5%)

6% of the fund is allocated to UCOP.

Opportunity Fund: To Help Bolster Funding for Research (COR’s suggestion)