

COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION

Minutes

January 7, 2016

Present: Eileen Zurbriggen (Chair), Kevin Karplus, T.J. Demos, Elizabeth Cowell (*ex officio*), Catherine Jones, Frank Gravier, Howard Haber, John Bono (Associate University Librarian)

Absent: SUA representative, GSA representative

Announcements

COT forum will be held on Wednesday, January 13, 2016.

Librarians (Christy Hightower and Annette Marines) are visiting campus departments to present on research support services.

Members are concerned that the Open Access Repository is a strenuous and duplicative process. Faculty need to verify each article and upload the document individually, the process can take hours whereas in some disciplines articles have been available on an open access repository for years (e.g. on the "Archive" from 1993 onwards and on "Spire" from 1973 onwards).

Library Funding Models

Chair Zurbriggen reported on her meeting with CPB member Prelinger, he is willing to liaison with COLASC and is passionate about libraries.

Associate Librarian Bono provided information on several types of budget models that he uncovered in his research. The first model was put forward by the Association of College and Research Libraries (ACL); it is the "6% rule", where total expenditure for libraries is six percent of the total budget. A study was done to see how many libraries followed this percentage and essentially none were. The ACL abandoned this model and instead said that a library budget should be appropriate to the library's objectives. A second model is based on a peer institution analysis. Associate Librarian Bono summarized one such analysis from our library from several years ago. A third model is put forward by the Association of Research Libraries (ARL); it is the investment index consisting of categories such as annual total library expenditures, FTE, salary and wages, and collection costs however it seems in general libraries do not use these models. He is looking into peer institution analysis, where funding are measure based on research program, influence by the demand of the library service, success of library and will be able to share this information along with the ARL index at upcoming COLASC meeting. It seems the percentage of funding type is different for each campus within UC.

It was noted that UCSC is not a member of the ARL. All other potentially eligible UCs are members except UC Merced (UCSF is not eligible). Membership is based on a number of criteria including total expenditures, salaries/wages for professional staff, budgets for collections, and number of FTE. UCSC does not have a large enough budget to qualify. It was noted that UCLA and UCB are top ten libraries within ARL.

UCSC is under budget compared to all other UCs, perhaps in part due to the fact that we were underfunded when UCSC was founded (in comparison to other UCs) and the budget has always changed on an incremental (percentage) basis since then. Librarian Cowell urged the committee members to communicate how the lack of library resources negatively impacts teaching and research, the two core

missions of the university. COLASC recommends to be more forceful in budget negotiations, perhaps stressing the library's role as a research partner rather than just a facility. Budgets could perhaps be linked to number of students, given that the student population is growing. The committee also mentioned that the library might receive a set percentage indirect cost recovery from research grants. The committee suggested showing formula to get parity with other UCs, including a trajectory to the end goal such as a ten year plan.

Librarian Cowell provided an update for an upcoming Librarian meeting with President Napolitano and her role in the discussion related to the California Digital Library (CDL) is based on campus size according to three tiers:

- Tier 1 – All campuses have access and all pay a share (80% of UCSC Library goes to CDL)
- Tier 2 – At least five campuses participate (and pay)
- Tier 3 – Individual campus subscriptions

UCSC does not have access to may Tier 2 subscriptions. Librarian Cowell will argue that all campuses should have access to all Tier 2 subscriptions. It was noted that the inter-library loan program is not suitable substitute for CDL access.

Discussion of Possible “Forum on Textbooks”

The committee resumed discussion on possible forum topics such as textbook or instructional resource but the committee wondered whether such a forum would generate substantial interest in the Humanities and Arts since faculty in those disciplines have moved away from textbooks and books are on reserve at the library or online. The general type of course material used are monographs, journals, articles, or course packs(less costly compared to printing out individual articles).

The committee is interested in how students access and digest information but feel this would fall into the Committee on Teaching's purview. Members decided to bring this discussion to their department meetings to see if there interest for such a forum within the department or division.