

COMMITTEE ON THE LIBRARY AND SCHOLARLY COMMUNICATION 2012-13 Annual Report

To: Academic Senate, Santa Cruz Division

The Committee on the Library and Scholarly Communication (COLASC) worked throughout 2012-13 to understand the impact of continued budget cuts to the library. The committee also explored a variety of ways to restore library funding and to adapt library services to the changing academic environment. COLASC also worked to educate the campus about the proposed UC Open Access Policy, which was ultimately adopted in July 2013.

The Disappearing Library Budget

Since 2007-08, the library has endured multiple budget cuts, greatly reducing its ability to continue effectively serving the faculty and students of UCSC. For graduate students, one of the most important aspects of the library is access to collections, both in print and online. At UCSC, the funding per student per year (undergraduate and graduate) in the materials budget has fallen from \$270 to \$150 over the past few years. UCSC's materials budget has declined by 35%, representing the largest decline of all UC libraries. When considering the overall library budget and student FTE, UCSC spends \$161 per student. This is the lowest budget per student FTE in the entire UC system.

When considering the amount of library staff available to support students, UCSC has had a 24% reduction in staff since 2007-08. UCSC now has the lowest ratio of librarians to students in the UC system, with 1.25 librarians per 1,000 students. By comparison, UC Berkeley has 6.3 librarians per 1,000 students. The library plays an essential role in preparing graduate students for their careers, but more investment is needed if the graduate population on campus will grow.

Reduced Library Subscriptions

The UCSC Library provides access to many materials through paid subscriptions for campus use. Some of these subscriptions are negotiated at the systemwide level by the California Digital Library (CDL) and others are negotiated locally by the library. Given the amount of local journal subscriptions that have been cut in recent years (~\$98,000 of cuts since 2009), COLASC sought more information on the distribution of the local cuts per division. Since 2009, the Arts and Humanities Divisions have taken a reduction of ~28%, the Physical & Biological Sciences and Engineering Divisions have taken a reduction of ~27%, and the Social Science Division has taken a reduction of ~36%. In all, 931 local subscriptions have been cancelled since 2009-10 and the cuts only stand to worsen as the library faces another cut of \$638,000 for the 2013-14 year, with ~\$200,000 affecting the materials budget.

Library Operating Hours

In response to budget cuts in 2009-10, the UCSC libraries (McHenry Library and the Science and Engineering Library) were forced to reduce their operating hours. In response, UCSC students approved Measure 42 in the 2010 campus elections, which levied a \$6.50 fee per student per quarter to restore the hours to 2008-09 levels.

Figure 1. Library Operating Hours 2008-09 to 2012-13

	2008-09	2009-10	2010-13
Sunday	10 am – 11:45 pm	10 am – 10 pm	10 am – 11:45 pm
Monday – Thursday	8 am – 11:45 pm	10 am – 10 pm	8 am – 11:45 pm
Friday	8 am – 8 pm	10 am – 5 pm	8 am – 8 pm
Saturday	9 am – 8 pm	CLOSED	11 am – 7 pm
Finals Extended*	N/A	N/A	11:45 pm – 3 am

*Finals week late night hours are a new service made possible by Measure 42

Measure 42 had a sunset clause, meaning that the student fee for extended library hours expired at the end of the 2012-13 academic year. Throughout 2012-13, the library pursued a renewal of the student fee imposed by Measure 42, as continued budget cuts from 2009 to 2013 left the library without adequate funding to maintain its operating hours. COLASC supported the library’s efforts, believing the priority in difficult budget times to be support of faculty and maintaining collections. The library failed to gain the support of the Student Union Assembly (SUA) or the Graduate Student Association (GSA). While in the process of collecting student signatures on a petition to renew Measure 42, the library received word from Executive Vice Chancellor (EVC) Alison Galloway that campus would make available up to \$535,000 to fund the extended hours (March 18, 2013). COLASC noted, though, that the yearly cost of the extended hours is ~\$233,000, meaning that the central allocation will only be adequate for two years. The library will still require increased funding to maintain its extended hours after 2015-16.

During meetings about the possible renewal of Measure 42, the SUA expressed interest in a new model for library hours offering even more availability to UCSC students. This model, known as a 24/5 model, would have the library open 24 hours a day from Sunday to Thursday, closing at 8:00 pm on Friday and maintaining current Saturday hours. This model, though, would cost two times as much as the current extended hours, amounting to either a \$13.00 per student per quarter fee or an increase in central support.

Library Response to Budget Cuts

COLASC consulted with Librarian Virginia Steel regarding the various ways in which the library is attempting to maintain its service to campus in the face of continued budget cuts. Historically, each academic department had access to a librarian who could handle book selection and offer educational services. In 2012-13, there were instances of one librarian serving up to ten departments, which led to a new approach that moves to online and web-based instruction for lower-level undergraduate instruction and creates teams for upper-level and graduate-level instruction.

The reduced collections budget has led to demand-driven acquisitions (DDA), meaning that library searches will produce records of works that the library has not yet purchased. Patrons will be able to view the records and the cost to the library for these views will be far cheaper than a full purchase of the material license. The library will then purchase materials that show high demand.

COLASC consulted with Associate Vice Chancellor, Strategic Philanthropy Jeff Schilling on February 5, 2013 regarding the upcoming UCSC Comprehensive Campaign. The committee inquired about the library's position in the campaign and discussed many ways in which fundraising can help restore library funding. The library currently has employees working in development and fundraising, hoping to increase the library's profile on campus and beyond.

Open Access

In July 2012, the systemwide University Committee on the Library and Scholarly Communication (UCOLASC) shared its final version of a proposed Open Access Policy for UC. Open Access has two main models: green and gold. Green open access is the model in which individual faculty authors archive a copy of their article in a free public database. Gold open access is the model in which faculty authors publish in an open access journal that has no subscription fees. These gold open access journals typically charge publication fees to the authors. There is also a hybrid gold model, in which journals offer to make an author's article openly accessible for an additional fee to the author.

The proposed policy, a version of green open access, was designed to expand open access to research publications by UC faculty by changing the default relationship between faculty authors and scholarly publishers to one in which authors grant the University a non-exclusive license to the work. The policy was reviewed by a number of Senate committees and generated a wide variety of feedback.

COLASC strongly supported the policy. As a public entity, UC is mandated to do everything in its power to make the scholarship of faculty freely accessible to all. Also, it has become imperative for UC to oppose what has presently become a prohibitive and unsustainable model of publishing and access to scholarly material. Under the current subscription model, scholarly articles are increasingly inaccessible to the public. Finally, the proposed policy would move the University of California in line with 142 major institutions worldwide, such as Harvard, Stanford, MIT, and our own UCSF.

COLASC worked throughout the review period to educate groups on campus about the proposed policy, producing a summary report that simplified the policy and hosting an open forum on November 28, 2012 to discuss the facts and the myths surrounding the policy.

Library Open Access Fund Pilot

The library was provided with \$20,000 by the California Digital Library (CDL) to support faculty members who wish to publish using the gold or hybrid gold open access models. The library administered this fund in 2012-13, offering a maximum award amount of \$3,000 or

\$1,500 for hybrid journals. These funds help faculty authors pay publication fees in open access journals. COLASC consulted with the Committee on Research (COR) through correspondence and via a visit from COR Chair Scott Oliver, to discuss whether this fund ought to be administered by COR. The conclusion was that the library is the correct administrator of the Open Access Fund Pilot for now. The consultation with COR was still helpful as the two committees agreed that there is room for much greater collaboration, given the connection between research and the library.

UCSC Response to the Proposed Open Access Policy

In its official response, the UCSC Academic Senate highlighted three main concerns with the proposed policy: the effect of Open Access on the academic review process; the danger of copyright infringement for articles containing images; and general skepticism about the policy's impact on the publishing industry. In order to better understand the issues surrounding copyrighted images in publications, COLASC consulted with UC Copyright Management Officer Katie Fortney. The committee concluded that UCSC needs better copyright education for its faculty. Given proper funding, the library could lead optional trainings to provide guidance for the use of images in publications, online courses, and traditional course materials.

UCSC's concerns were echoed by other campuses during the initial review of the proposed policy. In response, UCOLASC and the Academic Council asked UC Office of the President (UCOP) for assurances that the articles deposited into the open access repository would not be used for profit by the university and that the CDL would be properly funded. On May 6, 2013, UC Provost and Executive Vice President Aimée Dorr wrote to the Academic Council expressing UCOP's support for the policy. The policy was then sent back to the campuses for a second, expedited review on May 30, 2013.

COLASC renewed its enthusiastic support for the updated policy, and the policy was officially adopted by UC in July 2013. COLASC looks forward to following the implementation of the UC Open Access Policy during 2013-14 and beyond.

The Future of the Library

Much of COLASC's attention in 2012-13 was dedicated to the devastating effects of the continued budget cuts to the library and the possible ways forward. A well-funded library is essential to achieve many of the stated goals of UCSC, such as gaining membership to the Association of American Universities (AAU), maintaining international research rankings, growing the number of graduate students on campus, providing a transformative undergraduate experience, and supporting faculty members. A COLASC report on the state of the UCSC Library in 2012-13 can be found in Appendix A. The committee consulted with EVC Galloway on June 4, 2013 regarding the future of the library. The consultation was productive and COLASC looks forward to advocating for the library as the university embarks on its strategic planning initiative in 2013-14.

Other COLASC Business

The committee was saddened by the April 19, 2013 announcement of the departure of University Librarian Steel. Librarian Steel worked closely with the committee throughout her tenure at UCSC and her leadership will be missed. Interim Librarian Elizabeth Cowell attended the final two COLASC meetings of 2012-13 and the committee looks forward to continuing to work together in 2013-14. The committee also looks forward to being involved in the search for a new University Librarian.

COLASC discussed and commented on the following systemwide and divisional proposals:

- Systemwide Review of Rebenching Report (October 30, 2012);
- UCSC Office of Research Self-Study (January 22, 2013);
- Updated Draft UCSC Wi-Fi Policy (February 15, 2013);
- UCSC Anti-Plagiarism Software (February 15, 2013).

Respectfully submitted;

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