

**Minutes
Committee on Research
June 2, 2009**

The Committee on Research (COR) met on Tuesday, June 2, 2009, from 2-3:30 p.m. in 307 Kerr Hall.

Present: Phokion Kolaitis (Chair), Stephanie Casher (ASO staff), Carla Freccero, Lewis Watts, and Meg Wilson.

Absent with notice: Shaowei Chen, Donka Farkas, Holger Schmidt, Vanita Seth, and Sriram Shastry.

The minutes of May 12, 2009, were approved.

Chair's Announcements:

From SEC: 1) The Senate Chair provided an update on the budget situation. It is looking like UCSC may have to take as much as \$40 million in cuts in 2010-11, roughly three times the earlier estimate. Under these circumstances, it seems inevitable that salary reductions will be implemented, though it is still unclear if these reductions will come in the form of a furlough or a pay cut. Other strategies being considered are raising fees further. 2) The Academic Council took a strong stand against the proposal put forth in the "Emergency Powers" document, but it appears that the amendment will still go forward, with revisions, for consideration by the UC Regents at their July meeting.

The Chair reported that approximately sixty people (a balanced mix of staff and faculty, as well as a few graduate students) attended the IP forum on May 27th. He was also encouraged that the Dean of PBSci and the new Dean of Engineering were also in attendance.

SMG Performance Review Administrative Guidelines

COR reviewed the draft "UC Santa Cruz Administrative Guidelines for Five-Year Performance Reviews," and had the following comments:

- Given that a typical SMG appointment is for a five-year term, we believe that the review should be conducted no later than the fourth year of service, instead of the fifth year of service. This will make it possible, in the case of an unfavorable review, to conduct a search for a replacement during the fifth and final year of service of the SMG member reviewed.
- The Committee does not see the point of having both one Dean (and/or one Vice Provost) and one Vice Chancellor on all review committees. In particular, a Vice Chancellor need not be a member of the review committee of a Dean.
- There is insufficient faculty representation in reviews of deans of academic divisions, the Dean of Graduate Studies, the Dean of Undergraduate Studies, the Vice-Chancellor for

Research, and the Vice-Provost for Academic Affairs. Specifically, for such reviews, the number of faculty members should be increased from two to at least three and, preferably, to four.

- In the case of the review of the CP/EVC, a broader committee needs to be constituted that should include faculty representation from each academic division.

Convocation on Research

Chair Kolaitis spoke with Chancellor Blumenthal at the reception following the spring Academic Senate meeting; the Chancellor was enthusiastic about the idea of a Convocation on Research, and was willing to participate. Moving forward, Analyst Casher will coordinate with the Chancellor's office to select a date for the Convocation in fall quarter. COR will also contact the five divisional Deans again (with a cc to all Department Chairs) to solicit a list of recent award recipients to be recognized at the Convocation. COR will aim to schedule one speaker/per Division, at roughly eight minutes per presentation.

Consultation with VCR Margon

VCR Margon informed the committee of conversations he has been having with EVC Klinger about reworking the formula that calculates how ICRs (Indirect Cost Recovery) are distributed to campuses. Currently, all ICR generated from federal funds trickles down to the divisions, while ICR from non-federal funds stays with the Chancellor to be distributed (or held) at his discretion. But the problem is that when several campuses submit a multi-campus proposal, and the lead campus is not UCSC, the funds awarded get funneled via the lead campus, thus marking them as "private funds", and therefore preventing the redistribution to Divisions/Schools. In order to make sure that Divisions are receiving their fair share of ICR, this formula should be examined, and modified as needed.

COR will write a letter to EVC Klinger recommending a change to the ICR calculation and distribution.

COR updated VCR Margon on the plans for the fall quarter Convocation on Research, and VCR Margon agreed to contribute some funds from the Office of Research to support the event. There was brief discussion about the benefit of bringing in an outside speaker to do the keynote address, but most people felt we should keep the focus of the event on the research achievements of UCSC faculty.

VCR Margon also described his plans for selecting a review committee for the Institute for Marine Sciences (IMS) review, and shared with COR a list of potential reviewers (three from outside UCSC, and two within). COR offered a few suggestions on the potential reviewers.

The meeting adjourned at 3:15 p.m.

So attests,
Phokion Kolaitis, Chair
Committee on Research