

**Minutes
Committee on Research
October 28, 2008**

The Committee on Research (COR) met at 2 p.m. on Tuesday, October 28, 2008 in 307 Kerr Hall.

Present: Phokion Kolaitis, Chair, Shaowei Chen, Donka Farkas, Carla Freccero, Donna Hunter, Judit Moschkovich, Sriram Shastry, Meg Wilson.

Absent with notice: Holger Schmidt.

The Minutes of October 14, 2008 were approved.

Chair's Announcements: 1) The Chair reviewed his response to the Academic Action Plan which COR will have a chance to review in the next version. Of particular note in his response were a request for more specificity for the Office of Research goals and questioning if faculty nominations for major external awards shouldn't be handled by departments/divisions rather than at a distance. One member suggested that the ordering and categorization of main objectives be reworded to keep graduate and undergraduate objectives on a par with each other. 2) Senate staff will provide notes from the Committee on Academic Freedom's sponsored Forum on electronic security with Columbia Law Prof. Eben Moglen and post on COR's secure site along with the handout from the Forum.

Report on SVC/UARC Visit

Donna Hunter as the COR representative accompanied CPB to the Silicon Valley Center to tour the facility and meet with Vice Provost, Silicon Valley Initiatives, Joe Miller. A copy of the powerpoint presentation will be posted on COR's secure site. The presentation included an overview of the NASA-Ames contract with UCSC (37 active task orders), and a report on the largest task order in terms of funds, Air Traffic Management. UCSC has 135 researchers at the research park and 25 staff and 25 faculty members. A total of 309 publications and \$6.3M in outside agreements have resulted from the UARC. UARC produces \$25.3M in annualized overhead. COR would like to have a future discussion with the VCR on UARC.

CASFS Report

The Committee approved the draft COR response to the Center for Agroecology and Sustainable Food Systems Divisional Review.

NFRG Review

The Committee reviewed and made decisions on the new faculty research grants. In the process, committee members suggested that the faculty research grants policy guidelines

be revised to achieve greater clarity. Items to add to discussion of the Call in January include clarification of the wording on the non-allowable expense regarding conference proceedings, and a suggestion that the application include a place to indicate the expected goal from the research (paper, book, performance, etc.)

Consultation with UCORP

COR asked VCR Margon about export controls guidelines and management at UCSC and the UC system in general. The VCR reported that, in recent years, export controls have been broadened by the Federal Government and made extremely complex, which makes compliance an arduous task. The VCR meets with faculty who are most directly involved with research that could lead to unintended violations. The UC Regents have created a VP for Compliance who is endeavoring to meet federal regulations.

The VCR reported to COR that cost-sharing on federal grants is currently in a transition state, with mandatory institutional cost-sharing expected to transition entirely to voluntary cost-sharing over the next few years, but in the meantime, this year's mandatory rates are dramatically high (e.g., NSF's major instrumentation program is at 30%). This may be the first year that UCSC might not be able to provide the match on very large proposals.

Acting Director of the OR's Office of Management of Intellectual Property (OMIP) Vanessa Tollefson will be meeting with COR at its next meeting on November 18th.

The meeting adjourned at 3:35 p.m.

So attests,
Phokion Kolaitis, Chair
Committee on Research