COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION
Annual Report 2021- 22

To: Academic Senate, Santa Cruz Division

The Committee on the Library and Scholarly Communication (COLASC) is charged with advising the campus administration on local and systemwide library and scholarly communication policies. Scholarly communication refers to the multi-faceted modalities by which research and creative work are made public and encompasses issues related to publishing, technology, archiving, and copyright. The committee also advises on the administration of campus libraries and on matters concerning acquisition and management policies for collections. The committee meets biweekly to support this charge and to better understand and learn about the challenges and opportunities facing our libraries. Below we summarize our actions for the 2021-2022 academic year.

I.   Library Budget, Collections and Space Orientations

Based on the precedent established in 2019, the first meetings in the fall were orientations. Associate University Librarian for Planning and Resource Management (AUL), John Bono, provided an overview of the library budget. This helped the committee to gain a basic understanding of the library budget, including funding levels, spending and how the budget is organized. The library budget is divided into two categories: collections and non-collections funds. Members expressed interest in understanding the differences in library budgets across the UC system. AUL Bono advised that currently the Campus Provost/Executive Vice Chancellor allocates funds to the library based on the prior year. COLASC members were concerned that funding is not based on student enrollment and that this will strain the library as campus grows. Associate University Librarian for Collections and Services, Kerry Scott, also spoke to the committee and provided an overview of the library's acquisitions (what the library buys or licenses) and access (how the library makes what we have not bought or licensed accessible to the campus community) strategies. She discussed three considerations when thinking about issues of acquisition and access in relation to budget (constraints & stewardship), collective collection, and scholarly production & communication (including open access or OA). She also discussed the manner in which the library manages demand-based acquisitions, including detailing the process through which both students and faculty can request the library to acquire materials. There was some discussion about the ways in which the library had navigated the pandemic, working to provide as much access as was possible through the acquisition of licenses and through the Hathi Trust Collection. Members noted the benefits of the "Book Reserves Form" located on the library website.[1]

II.   Physical Access to Library Collections Post COVID

Students and faculty were able to physically access the library beginning July 1, 2021. Due to staffing shortages, hours were not back to pre-pandemic levels during finals week. COLASC may want to inquire regarding staffing and hours in the future.

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[1] Book Reserves Form and Media Reserves Form, https://library.ucsc.edu/services/reserves/book-reserves-form

III.   Open Access and Open Educational Resources

The past two years have seen major developments in opportunities for open access (OA) publication. The most striking took place in March of 2021, when the University of California (UC) concluded a "transformative open access agreement" with Elsevier, the world's largest academic publisher. There have been other such transformative agreements since, including two major ones that were announced this year: a pilot agreement with Wiley (University of California Santa Cruz is one of five UC campuses selected for this one-year pilot agreement) and an agreement, reached by the UC system along with the California State University system and Statewide California Electronic Library Consortium (SCELC), with the American Chemical Society (ACS), the fourth largest publisher of scholarly journal articles by researchers in the UC system. The general nature of these transformative agreements is to direct library funds which previously have gone to journal subscription fees to instead pay for open access publishing, while still maintaining full UC access to the journals in question. But the details are complex, and there remains much confusion and concern among UC researchers about exactly how the new system will work.

In light of this, and in light of the increased interest generally in OA publishing and in open educational resources (OER), COLASC spent most of this year investigating and helping to spread information about options in these areas. This process began with a presentation by Scholarly Communication Librarian Martha Stuit. The presentation included available author services, consideration of the changing publishing landscape, description of library support for graduate students as new authors, and ample time for questions. Members determined that it would be beneficial to share this information more broadly with faculty.

COLASC members settled on the idea of a workshop via Zoom for faculty and graduate students. As reflected in its playful title, "How I Learned to Stop Worrying and Love Open Access," the 90-minute workshop aimed to make open access more appealing and accessible to a varied faculty audience. Held on May 6, 2022, the workshop combined a general overview of UC open-access policy with a tour through opportunities open to UCSC researchers.

The first half of the workshop served as an introduction. UCSC's Scholarly Communication Librarian (SCL), Martha Stuit, guided attendees through today's publishing landscape, resources at UCSC and a summary of relationships with publishers, author's responsibilities, the different types of OA (Green, Diamond/Platinum, and Gold), and long-term open access issues. SCL Stuit emphasized the benefits of open access for faculty, including more readers, increased citations, and copyright retention, as well as meeting funder requirements for OA publishing, where these exist. SCL Stuit also discussed the benefits to others: no barriers to reading, no barriers to use in teaching, the fulfillment of UC's public mission, and a general public good. SCL Stuit's broad takeaways included the following (drawn from SCL Stuit's slides): (1) The publishing landscape is a big, flawed system, and not any one person can change it. Advancing open access will require all authors and libraries working together. (2) UC is making huge strides to move toward open access, and everyone at UCSC has a role to play in that effort. The system is pursuing new "transformative" agreements with publishers (which can have a large impact on the publishing landscape as a whole, given our large size), and is offering other new open access options. (3) Authors have a voice and choices in their publishing decisions. They are publishing open access in a variety of ways when it is possible and makes sense for them. (4) OA policies, publisher

agreements. discounts, and support allow authors to consider when and how to open up their research and scholarship.

The SCL's presentation was followed by a panel of researchers who shared their experience with open access publication. In choosing panelists, the committee sought to represent a spectrum of experiences with open access, not just across different disciplines, but also different forms of publication.

The first panelist was COLASC member Kyle Parry, Assistant Professor of History of Art and Visual Culture at UC Santa Cruz. Kyle focused on his experiences in the humanities as well as the challenges of open access for scholars working in fields with image permissions and cultural protocols around the use of certain images. Kyle and his co-editor were able to make their book, *Ubiquity: Photography's Multitudes*, open access by combining three grants, one from UC Santa Cruz's Arts Research Institute, one from Northwestern University (where the co-editor was a doctoral student), and one from Katholieke Universiteit Leuven's library.

The second panelist was Rachel Holser, Assistant Research Biologist at the University of California, Santa Cruz. Holser emphasized experiences in working with one of the UC's transformative agreements as well as the barriers to access to scientific research along geographic and economic lines. Holser recently published an open access paper in *Proceedings of the Royal Society: B* thanks to UC's Transformative Open Access Agreement with the Royal Society, and has contributed to publications in a number of OA journals, including *Science Advances, Frontiers in Marine Science, Frontiers in Physiology,* and *Animal Biotelemetry*.

The third panelist was Fernanda Ferreira, Professor of Psychology and Member of the Graduate Program in Linguistics at the University of California, Davis. Professor Ferreira discussed the opportunities and challenges in open access with respect to the establishment and editing of journals. Ferreira previously served as Editor-in-Chief of the *Journal of Experimental Psychology: General* and recently helped found a new Open Access journal called *Glossa Psycholinguistics,* which is hosted on the University of California's platform eScholarhsip. Professor Ferreira is a member of the Linguistics Panel of the National Science Foundation and currently a standing member of the National Institutes of Health Study Section on Language and Communication.

Finally, the fourth panelist was Katie Fortney, Copyright Policy & Education Officer with the California Digital Library. Fortney discussed open access from policy and university perspectives. Fortney provides the UC campus libraries and their communities with educational resources and policy guidance on copyright and rights-management issues, particularly those related to the CDL's scholarly research and publishing services via eScholarship and special collections access platforms like Calisphere and the Online Archive of California. She supports the UC open access policies through her work as part of the Office of Scholarly Communication, and maintains the UC Copyright website as part of her role on the Standing Subcommittee for Copyright Policy of SLASIAC (Systemwide Library and Scholarly Information Advisory Committee).

In reflecting on the workshop, the committee agreed that it, in spite of a somewhat smaller attendance than hoped, it was an overall success, with several of the attendees asking pertinent

questions and clearly learning from the presentations and panelist discussions. As the workshop was recorded, much of it will be available for review for anyone interested.

IV.   Consultations

On November 18th, Angela Cline, Director, Business and Financial Analysis and other guests gave a presentation summarizing the upcoming changes to the Bay Tree Bookstore, now to be called the Bay Tree Campus Store. The presentation included a preview of the Akademos platform which has been selected to replace in store purchase of textbooks. The platform works on mobile and laptop devices. Students can access it, using their current credentials, from either a course Canvas site or a direct URL, ucsc.textbookx.com. The platform will display enrolled courses for the student and show required and optional material for each course. It offers new, used, rent and digital purchase options, as well as a marketplace which links to sellers or other students across the country. There is an eLibrary to make eBook and other courseware purchases easier to access. Select students may be able to use Third Party Aid for payment. Students can contact txtbooks@ucsc.edu with any questions. Customer service and support is provided by Akademos, as well as a UCSC Course Material Strategist. The platform also displays the price for each item on Amazon and provides a link, since students will be comparing anyway. The physical book store will be for campus merchandise. The COLASC undergraduate student representative inquired about how the marketplace feature can support small business bookstores. The university librarian representative inquired about links to the library catalog and materials that are free to students. The university librarian representative had concerns that free library resources need to be more prominently displayed. Some members seconded this concern, and suggested some simple changes to improve this. Akademos staff advised that the Amazon price can be shown but not linked. The COLASC undergraduate student rep advised a "Shop Local" banner could be added that stands out to reflect the values of campus. Some but not all members felt such changes were needed. Members were concerned about interface issues. COLASC may want to follow up on the success and challenges that arise following broad implementation. Other guests in attendance for the consultation included: Doug Lang, BTB Director; Russell Markman, UCSC Project Manager; Chris Kesicke, Director of Business Development Akademos; Cecelia Noble, Director of Business Development Akademos; Hattie Linam, Client Success Manager Akademos.

Following this consultation COLASC members attended several Implementation Committee meetings (and subcommittee meetings) but not all. Members noted that adoption of the new platform was gradual and detailed guides were created to assist faculty and students. Members were glad to see that library resources were made clear but remained concerned regarding the prominence of OER, book prices, and student privacy.

On March 31st, 2022 Community Archivist, Rebecca Hernandez, consulted with COLASC regarding her newly created role (which was established following the retirement of the Regional History Project director). Hernandez explained what a community archive is, outlined the role of a community archivist, and discussed opportunities for the program in future. The program hopes to document the memory of local groups often left out of traditional archives. COLASC is pleased to see the library moving in exciting new directions and looks forward to future updates.

On April 28th, 2022 Katharin Peter, Library Head of Research Support Services, was invited to talk about the Online Learning Librarian recruitment and Daniel J. Story, Digital Scholarship

Librarian, also discussed the library role in remote learning during the pandemic. This consultation served to inform COLASC of past and future online learning opportunities and issues. The Library recruitment for an Online Learning Librarian has concluded and Sheila García Mazari began August 1.

During the pandemic, the library found that helping faculty and students access online materials required a different approach. Changes were made to the library website to streamline student searches and support faculty goals of low/no cost educational resources. The library supported instructors in developing digital assignments which paired well with online learning: digital mapping, podcasting, and video essays. Instructors have increased web-based assignments, including digital exhibits and story maps. The library is also involved in the digital instruction project run with Online Education (OE) and CITL. Remote learning removed some physical limitations and allowed for a shift from collections focused to expertise focused teaching. The Library has also completed recruitment for an Undergraduate Engagement Librarian, Laura Aguilera (as of July 13th) to help shape the first-year experience, exploring how the library can help introduce new students (especially first generation and minoritized students) to academia. The Library is looking for ways to engage with faculty on open educational resources (OER).

COLASC Members discussed these updates and one member recalled the library's assistance in helping find an OA textbook significantly reducing materials costs for students.

V.   LAUC Reps on COLASC

In the past, LAUC (the Librarians Association of The University of California) has been represented on our committee by its Chair and Chair-elect, who were invited to sit with COLASC; this arrangement was reflected both in our bylaws and in the LAUC bylaws. LAUC recently changed their bylaws to allow for greater flexibility, and COLASC discussed changing our own bylaws to match. The proposed change was to replace "The Chair and Chair-elect of the UCSC Librarians Association are invited to sit with the Committee" with "Two representatives from the UCSC Librarians Association are invited to sit with the Committee." COLASC approved this change at its January 13th meeting. The change was later reviewed by the Committee on Committees and the Committee on Rules, Jurisdiction, and Elections (CRJE). CRJE made minor wording recommendations which COLASC approved. The change was voted on and approved by the Academic Senate at the March 9th Senate Meeting.

VI.   Membership in ARL

COLASC was pleased that, following a rigorous application process, the UCSC Library joined the Association of Research Libraries, effective January 1, 2022. As their website states, the ARL "is a membership organization of libraries and archives in major public and private universities, federal government agencies, and large public institutions in Canada and the US. [The ARL] advocate on research libraries' behalf, convene our research and higher education partners, share intelligence on current issues, and develop the next generation of diverse library leaders." COLASC congratulates the Library on this achievement.

VII.   Science & Engineering Library Tour

On May 12, 2022 COLASC members toured the ongoing renovations to the Science & Engineering Library. Greg Careaga, who is the library representative on the projects, and Kristy Golubiewski-Davis, the Head of Digital Scholarship, guided committee members and answered questions on the renovations.

The renovations included in the tour included the Active Learning Classroom and the new Information Commons on the main (middle) level. These renovations began in 2017, and the Hispanic Serving Institution Science, Technology, Education, and Math Hub opened in 2019. On the Sandra M. Faber Floor (upper level), COLASC members were shown the increased seating capacity for students (now 440 chairs), improved access to electricity for all seats, and four new reservable group study rooms. This floor opened on January 10, 2020. On the lower level, COLASC members observed the library's Digital Scholarship Innovation Studio, compact shelving that will allow the library to better maintain and grow its print collections, and a new lactation room. This portion of renovations were still in progress at the time of the tour. All floors included improved ADA accessibility; non-gendered bathrooms; acoustic ceiling tiles and panels; new carpets, paint, and furniture; and upgraded HVAC (ventilation) systems.

VIII.   Reviews

This academic year, COLASC reviewed and responded to the following:

Systemwide

- (Second Systemwide Senate Review) Proposed Presidential Policy on UC Research Data, May 13, 2022

Divisional

- UCSC Cruz Pilot Support Structures for Free Speech and Protest, November 12, 2021
- Planning for WASC/WSCUC Accreditation - Request for Theme Suggestions, June 1, 2022
- SEA Change Bronze Award Narrative and Action Plan Draft, June 1, 2022

IX.   Recommendations to COLASC 2022-23

- Further action to take following the OA workshop. Survey of faculty, report?
- Consider other ways faculty can further OA publications?
- Invite Bay Tree Campus Store leaders to consult and provide a progress check regarding the online course materials platform.
- Communicate broadly library resources to faculty and students and share with other COLASCs.
- Library events calendar promotion.
- Recommend that the new faculty orientation includes library introduction. Consider if an event to reorient faculty on library resources is needed.
- Science & Engineering Library Tour follow up and inform faculty of resources.
- Follow up on the Proposed Presidential Policy on University of California Research Data outcome.
- Consider a consultation regarding library instructional/information literacy work and collaboration with the Writing Program.

COLASC would like to thank Scholarly Communication Librarian Martha Stuit and the OA workshop panelists for their contributions.

Respectfully submitted;

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